

Van Leeuwen & Van Leeuwen  
Attorneys at Law  
6123 Pebble Garden Court  
Austin, Texas 78739  
Phone # 512-301-6738  
FAX # 512-301-6742

RECEIVED  
CENTRAL FAX CENTER  
JUN 02 2005

DATE: June 2, 2005

Number of Pages to Follow (including this cover sheet) 16

SEND TO: United States Patent Office

Examiner: **Tran, Tongoc**

Group Art Unit: **2134**

Tel No: 571-272-3843

Fax #: 703-872-9306

FROM: Leslie A. Van Leeuwen  
Van Leeuwen & Van Leeuwen  
Registered Patent Attorneys  
6123 Pebble Garden Court  
Austin, Texas 78739  
Tel No: 512-301-6738  
Fax No. 512-301-6742

THIS MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED, AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL AND EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US AT THE ADDRESS ABOVE VIA THE U.S. POSTAL SERVICE. THANK YOU.

Docket No. AUS920010143US1

Serial No. 09/817,867

Atty: JSL / JVL

Applicant: Dutta

|   |  |
|---|--|
| <input checked="" type="checkbox"/> Transmittal Letter (2 copies)   | <input checked="" type="checkbox"/> Certificate of Facsimile (incl. w/Amendment) |
| <input type="checkbox"/> Amendment ( <u>  </u> pages)               | <input type="checkbox"/> Notice of Appeal  |
| <input checked="" type="checkbox"/> Amendment AF ( <u>13</u> pages) | <input type="checkbox"/> Appeal Brief (3 copies)                                 |
| <input type="checkbox"/> Ext. of Time                               | <input type="checkbox"/> Reply Brief   |
| <input type="checkbox"/> IDS Statement                              | <input type="checkbox"/> Change of Address                                       |
| <input type="checkbox"/> Other                                      |  |

Deposit Acct. No. 09-0447

Fees: Amendment \$0.00 Notice of Appeal ☐ Appeal Brief ☐ Other ☐

File: **IBM-1015**

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

IBM DOCKET NO. AUS920010143US1  
DATE: June 2, 2005

Application Serial No.: 09/817,867

Sir:

Assignee Name: International Business Machines Corporation  
Assignee Residence: Armonk, New York

Transmitted herewith for filing is the Patent Application of:

Inventors: Dutta

For: System and Method for Placement of User-Negotiated Security Features on Ticket Items

Enclosed are:

☒ Amendment and Response to Final Office Action (13 pages).

Any additional filing fees have been calculated as shown below:

| For           | Number<br>Paid For | Number<br>Added | Number<br>Extra | Rate    | Additional<br>Fee |
|---------------|--------------------|-----------------|-----------------|---------|-------------------|
| Total Claims  | 21                 | 0               | 0               | x \$50  | \$ 0.00           |
| Indep. Claims | 4                  | 0               | 0               | x \$200 | \$ 0.00           |

Total: \$ 0.00

☒ Please charge my Deposit Account No. 09-0447 in the amount of \$0.00. A duplicate copy of this sheet is enclosed.

☒ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed.

☒ Any additional filing fees required under 37 CFR Sect. 1.16.

☒ Any patent application processing fees under 37 CFR Sect. 1.17.

☒ No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and the undersigned hereby authorizes the Commissioner to charge any fees for this extension to IBM Corporation Deposit Account No. 09-0447.

Respectfully submitted,

By



Leslie A. Van Leeuwen

Attorney for Applicant

Registration No. 42,196

Telephone: (512) 301-6738

Facsimile: (512) 301-6742

Atty Ref. No. IBM-1015

COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, VA 22313-1450

IBM DOCKET NO. AUS920010143US1  
DATE: June 2, 2005

Application Serial No.: 09/817,867

Sir:

Assignee Name: International Business Machines Corporation  
Assignee Residence: Armonk, New York

Transmitted herewith for filing is the Patent Application of:

Inventors: Dutta

For: System and Method for Placement of User-Negotiated Security Features on Ticket Items

Enclosed are:

X Amendment and Response to Final Office Action (13 pages).

Any additional filing fees have been calculated as shown below:

| For           | Number<br>Paid For | Number<br>Added | Number<br>Extra | Rate    | Additional<br>Fee |
|---------------|--------------------|-----------------|-----------------|---------|-------------------|
| Total Claims  | 21                 | 0               | 0               | x \$50  | \$ 0.00           |
| Indep. Claims | 4                  | 0               | 0               | x \$200 | \$ 0.00           |
|               |                    |                 |                 |         | Total: \$ 0.00    |

X Please charge my Deposit Account No. 09-0447 in the amount of \$0.00. A duplicate copy of this sheet is enclosed.

X The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0447. A duplicate copy of this sheet is enclosed.

X Any additional filing fees required under 37 CFR Sect. 1.16.

X Any patent application processing fees under 37 CFR Sect. 1.17.

X No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and the undersigned hereby authorizes the Commissioner to charge any fees for this extension to IBM Corporation Deposit Account No. 09-0447.

Respectfully submitted,

By



Leslie A. Van Leeuwen

Attorney for Applicant

Registration No. 42,196

Telephone: (512) 301-6738

Facsimile: (512) 301-6742

Atty Ref. No. IBM-1015

## PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:  
Dutta

Serial No.: 09/817,867

Filed: March 26, 2001

Title: System and Method for  
Placement of User-  
Negotiated Security  
Features on Ticket Items

\$ Group Art Unit: 2134  
\$ Confirmation No.: 8109  
\$ Examiner: Tran, Tongoc  
\$  
\$ Attorney Docket No.  
\$ AUS920010143US1  
\$  
\$ IBM Corporation  
\$ Intellectual Property Law  
\$ Dept.  
\$ 11400 Burnet Road  
\$ Austin, Texas 78758

RECEIVED  
CENTRAL FAX CENTER  
JUN 02 2005

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Certificate of Mailing or Transmission

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

*Leslie A. Van Leeuwen* 6/2/05  
Leslie A. Van Leeuwen Date

RESPONSE TO FINAL OFFICE ACTION

Sir:

**A. INTRODUCTORY COMMENTS**

In response to the Office Action, made final, having a mailing date of May 20, 2005, with a three-month shortened statutory period for response set to expire on August 20, 2005, Applicant respectfully requests reconsideration of the outstanding rejections and reexamination of the present application in light of the following remarks and amendments.

No extension of time is believed to be necessary. If, however, an extension of time is required, the extension is requested, and the undersigned hereby authorizes the Commissioner to charge any fees for this extension to IBM Corporation Deposit Account No. 09-0447.

Docket No. AUS920010143US1

Page 1 of 13  
Dutta - 09/817,867

Atty Ref. No. IBM-1015